

KEYNOTE

INVESTOR COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (IPO, FPO & OFS)

Data for every month ending September 30, 2024

Sr No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

Sr No.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month #
1	May, 2024	0	0	0	0
2	June, 2024	0	0	0	0
3	July, 2024	0	0	0	0
4	August, 2024	0	0	0	0
5	September, 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

NA – Not Applicable

Keynote Financial Services Limited

The Ruby, 9th Floor, Senapati Bapat Marg, Dadar (West), Mumbai 400028
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CIN - L67120MH1993PLC072407

KEYNOTE

INVESTOR COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (RIGHTS ISSUE)

Data for every month ending September 30, 2024

Sr No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

Sr No.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month #
1	May, 2024	0	0	0	0
2	June, 2024	0	0	0	0
3	July, 2024	0	0	0	0
4	August, 2024	0	0	0	0
5	September, 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

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KEYNOTE

INVESTOR COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (PREFERENTIAL ISSUE)

Data for every month ending September 30, 2024

Sr No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

Sr No.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month #
1	May, 2024	0	0	0	0
2	June, 2024	0	0	0	0
3	July, 2024	0	0	0	0
4	August, 2024	0	0	0	0
5	September, 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

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KEYNOTE

INVESTOR COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (QIP)

Data for every month ending September 30, 2024

Sr No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

Sr No.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month #
1	May, 2024	0	0	0	0
2	June, 2024	0	0	0	0
3	July, 2024	0	0	0	0
4	August, 2024	0	0	0	0
5	September, 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

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KEYNOTE

INVESTOR COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (TAKEOVER)

Data for every month ending September 30, 2024

Sr No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

Sr No.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month #
1	May, 2024	0	0	0	0
2	June, 2024	0	0	0	0
3	July, 2024	0	0	0	0
4	August, 2024	0	0	0	0
5	September 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

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KEYNOTE

INVESTOR COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (DELISTING)

Data for every month ending September 30, 2024

Sr No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

Sr No.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month #
1	May, 2024	0	0	0	0
2	June, 2024	0	0	0	0
3	July, 2024	0	0	0	0
4	August, 2024	0	0	0	0
5	September, 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

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KEYNOTE

INVESTOR COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (BUYBACK)

Data for every month ending September 30, 2024

Sr No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

Sr No.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month #
1	May, 2024	0	0	0	0
2	June, 2024	0	0	0	0
3	July, 2024	0	0	0	0
4	August, 2024	0	0	0	0
5	September, 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

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KEYNOTE

INVESTOR COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (SME IPO, FPO & OFS)

Data for every month ending September 30, 2024

Sr No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

Sr No.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month #
1	May, 2024	0	0	0	0
2	June, 2024	0	0	0	0
3	July, 2024	0	0	0	0
4	August, 2024	0	0	0	0
5	September, 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

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KEYNOTE

INVESTOR COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (CONSOLIDATED FILE)

Data for every month ending September 30, 2024

Sr No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

Sr No.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month #
1	May, 2024	0	0	0	0
2	June, 2024	0	0	0	0
3	July, 2024	0	0	0	0
4	August, 2024	0	0	0	0
5	September, 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

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